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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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## TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

**Application Number** 09/516,082 **Filing Date** March 1, 2000 **First Named Inventor** Satoshi MURAKAMI, et al. Group Art Unit 2815 Eugene Lee **Examiner Name** Attorney Docket Number | SEL 163

Total Number of Pages in This Submission

	ENCLOSURES (check	all that apply)
X Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group
X Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Replyx Amendment A	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	Petition Petition to Convert to a	Proprietary Information
Affidavits/declaration(s)	Provisional Application	Status Letter
X Extension of Time Request (1-Month)	Power of Attorney, Revocation Change of Correspondence Address	X Other Enclosure(s) (please identify below):
Express Abandonment Request  Information Disclosure Statement	Request for Refund  CD, Number of CD(s)	- Return Postcard
Certified Copy of Priority	55, 14111551 51 55(5)	
Document(s)	Remarks	
Response to Missing Parts/ Incomplete Application		
Response to Missing Parts under 37 CFR 1.52 or 1.53		
SIGNATU	RE OF APPLICANT, ATTORNEY, OR	AGENT
Firm or Individual name Stephen B. Heller		
	B. Heller	
Date December 7, 200		

CERTIFICATE OF MAILING			
	ndence is being deposited with the United States Postal Service with sufficient postage as first class commissioner for Patents, Washington, DC 20231 on this date:    December 7, 2001		
Typed or printed name	Christine A. Barglik		
Signature	( Making (1 Basself Date December 7, 2001		

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S12815

PTO/SB/17 (10-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

146.00

Complete if Known		
Application Number	09/516,082	
Filing Date	March 1, 2000	
First Named Inventor	Satoshi MURAKAMI, et al.	
Examiner Name	Eugene Lee	
Group Art Unit	2815	7
Attorney Docket No.	SEL 163	2

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. X The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES			
indicated fees and credit any overpayments to:  Deposit	Large Small			
Account Number 50/1039	Entity Entity Fee	ee Paid		
Denosit	Fee Fee Fee Fee Fee Description F	ee Faid		
Account Name & Mehler, Ltd.	105 130 205 65 Surcharge - late filing fee or oath			
X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Applicant claims small entity status.	139 130 139 130 Non-English specification			
See 37 CFR 1.27  2. X Payment Enclosed:	147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. X Payment Enclosed:  Check Credit card Money Other  Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	10.00		
Large Entity Small Entity	116 400 216 200 Extension for reply within second month			
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month			
Code (\$) Code (\$) Fee Paid  101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month			
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month			
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal			
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing			
SUBTOTAL (4) (\$)	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional			
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)			
Total Claims   14-42   -20** =   2   x   18.00   =   36.00	143 460 243 230 Design issue fee			
Claims 6-8 -3 - 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	144 620 244 310 Plant issue fee			
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner			
Large Entity Small Entity	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt			
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)			
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid	149 740 249 370 For each additional invention to be			
109 84 209 42 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)			
and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 36.00	Other fee (specify)			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 110.0	00		

SUBMITTED BY				Complete (if	applicable)
Name (Print/Type)	Stephen B. Heller	Registration No. (Attorney/Agent)	30,181	Telephone	(312) 236-8500
Signature	Stephen B. Heller	•		Date	December 7, 2001

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PATENT Attorney Docket No. SEL 163

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE UNITED STATESTATEM AND	TRADEMARK OFFICE
In Re Application of:	I hereby certify that this correspondence
Satoshi MURAKAMI, et al.	is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Serial No.: 09/516,082	Commissioner for Patents  Washington, D.C. 20231 on:
Filed: March 1, 2000	) on <u>December 7, 2001</u> 2-14-0
Examiner: Eugene Lee	Christine A. Barylik Christine A. Barylik
Art Unit: 2815	) Date: <u>December 7, 2001</u>
For: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME	) ) )
Commissioner for Patents Washington, D.C. 20231	COPY OF PAPERS ORIGINALLY FILED
AMENDMENT A	<u> </u>
Dear Sir:	
In response to the Office Action mailed August 8.	2001, please amend the above-identified

In response to the Office Action mailed August 8, 2001, please amend the above-identified patent application as follows.

<u>IN THE TITLE:</u>

Please change the title of the application to SEMICONDUCTOR DEVICE.

**IN THE CLAIMS**:

Please cancel claim 24.

08/04/BIGB | DBE48091 | 1010/480 | 108/1188

71 70:173

78. 79 TF